SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).			Filed pu	pursuant to Section 16(a) of the Securities Exchange Act of 1934					34		uis per response.	0.5	
					ction 30(h) of the Ín								
1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol <u>Nxt-ID, Inc.</u> [NXTD]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Simmons Ch	<u>lia-LIN</u>		-		<u>,</u> [1073	1				X	Director	10%	Owner
(Last)	(First)	(Middle)			e of Earliest Transa	action (N	Nonth	/Day/Year)			Officer (give ti below)	tle Other below	(specify /)
2801 DIODE L	ANE		ľ	01/03/2022					Chief Ex	ecutive Officer			
(Street)					mendment, Date of 4/2022	f Origina	al Fileo	d (Month/Day/Y	′ear)	6. Indi Line)	vidual or Joint/G	oup Filing (Check	Applicable
LOUISVILLE	KY	40299						X	Form filed by One Reporting Person				
(City)	(State)	(Zip)									Form filed by Person	More than One Re	porting
	Tal	ole I - Non	-Derivati	ve S	ecurities Acq	uired,	Dis	posed of, c	or Ben	eficially	v Owned		
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)4. Securities Acquire Disposed Of (D) (Instr 5)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11301.4)
Common Stock ⁽⁾	1)(2)		01/03/20	22		A		204,145 ⁽³⁾	A	\$ <mark>0</mark>	470,705(4)	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Conversion Execution Date. Ownership Transaction Derivative Date Expiration Date Amount of Derivative derivative of Indirect of Security (Instr. 3) or Exercise Price of (Month/Dav/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Beneficial 8) Securities Acquired Direct (D) Ownership (Instr. 4) Underlying Beneficially Derivative Derivative Owned or Indirect Security (A) or Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported Disposed of (D) (Instr. 3, 4 . Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date of

Explanation of Responses:

1. This amendment is being filed to correct inadvertent typographical errors in the original Form 4. The original Form 4 mis-calculated the aggregate number of shares of common stock, par value \$0.0001 per share, of the issuer ("Common Stock") beneficially owned post-reverse split as well as mis-identified the reporting person's award as a restricted stock unit with monthly vesting rather than a restricted stock award with quarterly vesting.

Exercisable

Date

2. Issuable upon the vesting of a restricted stock award in Common Stock ("RSA"), each of which represents the right to receive one share of Common Stock, subject to the vesting terms of such RSA. 3. The RSA of 204,145 shares of Common Stock was received as compensation for the reporting person's service as an officer pursuant to the issuer's 2013 Long-Term Stock Incentive Plan. The RSA is subject to vesting over a period of 3 years commencing on January 3, 2022, with 34,045 shares of the RSA to vest on July 3, 2022, and thereafter, 17,010 shares of the RSA will vest on the first day of each subsequent quarter until the entire award has vested, so long as the reporting person remains in the service of the issuer for each such quarter.

4. On October 15, 2021, the issuer effected a 1-for-10 reverse stock split of its outstanding shares of Common Stock. The number of shares of Common Stock reported on this Form 4 have been adjusted to reflect such reverse stock split as it pertained to the number of shares of Common Stock that the reporting person held prior to the grant of the RSA.

/s/ Chia-Lin Simmons	<u>02/18/2022</u>		
tt Cignoture of Departing Decor	Data		

Title

Shares

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

(A) (D)

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See